



Stones River Consulting (SRC) Commitment to Cybersecurity

SRC was created as a virtual company to take advantage of 21st century technology. All employees of the company work remotely, leading to rapid growth due to the unique ability to acquire talented staff to join our team, without the need for relocation. Due to the utilization of this practice, among others, cyber security is hard coded into our DNA.

SRC believes that the security and confidentiality of our clients' (and their employees') data to be of the utmost importance. To that end, **SRC** has implemented significant security measures. We believe it is never good enough to be complacent and follow the pack; we can only lead from the front.

Below you will find a list of the policies and procedures that protect and secure **SRC's** assets from any potential brand damaging cyber-attacks.

- ✓ **SRC** is a completely paperless company. Whenever paper is received (statements, distribution requests, loan requests, etc.) it is scanned into our systems and the paper is shredded. Digital copies are maintained on our encrypted portal, provided through ftWilliam, a Wolters Kluwer company, for clients and financial advisors to access. In addition to the secure portal, our compliance testing and 5500 tax preparation software is through ftWilliam as well.
- ✓ **SRC** utilizes a virtual filing cabinet for our records through Citrix ShareFile. Files are kept secure using SSL/TLS encryption protocols. Using SSAE 16 Type II certified data centers, all data is stored in proven and trusted cloud infrastructure, standards used by leading e-commerce websites and in internet banking.
- ✓ **SRC** Employees never share or release, to non-**SRC** staff, passwords/IDs for any software, vendors, or investment companies. It is **SRC** policy that all passwords should be at least 15 digits long (where possible) and be randomly generated. To facilitate this, **SRC** provides password vault software to all employees.
- ✓ With respect to recovery protocol, in the event of disaster, all **SRC** employees archive data to external hard drives on a daily basis. **SRC** also utilizes electronic backup services to the Citrix ShareFile cloud. This is instrumental to any disaster recovery needs.
- ✓ All **SRC** computers are kept current with all software updates. Since these updates often positively impact security, we believe it is vitally important that we keep our systems up to date.

SRC continues to facilitate ongoing best practice cybersecurity training. We are committed to safeguarding the sensitive data of our clients.