



# Compliance Calendar 2023



**Calendar** SEARCH Jessica

DASHBOARD YEAR VIEW WORK WEEK DAY VIEW TASK LIST SETTINGS

September < >

Monday 3	Tuesday 4	Wednesday 5	Thursday 6	Friday 7
9:00 Prepare notes for a meeting		Finalize budget	Team's photoshoot	Candidate interviews
9:30 Weekly team meeting	Legal			
	Reserve a table @SteakHouse			
10:00 Write weekly newsletter	Birthday's cake :)			Sales presentation
10:30				Video call with Sales team
11:00 Client meeting	Business Lunch with Dennis	Prepare notes for a meeting	Marketing agency's video call	
14:00		Board meeting		
15:00				
16:00				Inbox cleanup :)
17:00 Video call with Legal team				Week wrap-up notes
18:00				
19:00				
20:00				

**To-do list**

- Sales presentation
- Organize campaign meet-up
- Website news update
- Write weekly newsletter
- Team photoshoot
- Finalize budget
- Inbox cleanup :)

**Jan. 1**

Begin gathering Annual Census Data to provide SRC to begin 2022 Plan Year Compliance work. (Plan Sponsor)

**Jan. 1**

Continue tracking hours annually for long-term, part-time employees. (Plan Sponsor)

**Jan. 16**

Martin Luther King, Jr. Day

**Jan. 31**

Deadline for Providers to mail Form 1099-R reporting distributions and federal income tax withholding from the 2022 calendar year.

(Distribution for Pension, Annuities, Retirement or Profit Sharing Plans, IRAs, Insurance Contracts, etc.)

**Jan. 31**

Form 945 (reporting federal income tax withholding during the prior calendar year) must be filed with the IRS. However, if all withheld tax has been deposited in a timely manner to the IRS, the deadline is extended to February 10. (Recordkeeper/Provider)

**Feb. 14**

Valentine's Day

**Feb. 15**

Deadline to submit Annual Census to Stones River Consulting to allow compliance testing and corrections to be prepared before deadlines. (Plan Sponsor)

**Feb. 20**

President's Day

**Feb. 22**

Ash Wednesday

**Feb. 28**

Deadline for Form 1099-R to be filed with the IRS. (Recordkeeper/Provider)

**Mar. 12**

Daylight Savings Time Begins

**Mar. 15**

Deadline for Employer Contributions remitted for tax deductions for S Corps or Partnerships (With no tax return extension). (TPA, Plan Sponsor)

**Mar. 15**

IRS deadline for corrective distributions due to failed Actual Deferral Percentage (ADP) or Actual Contribution Percentage (ACP) test to avoid additional 10% excise tax. (TPA, Plan Sponsor, Recordkeeper)

**Mar. 15**

Deadline to adopt a profit sharing plan for 2023 for S Corps or Partnerships with no tax return extension. (TPA, Plan Sponsor)

**Mar. 17**

St. Patrick's Day

**Apr. 1**

Financial Literacy Month Begins

**Apr. 1**

IRS deadline for participants to take their initial (1st year) Required Minimum Distribution (RMD). (TPA, Plan Sponsor)

**Apr. 5**

Passover Begins

**Apr. 9**

Easter

**Apr. 13**

Passover Ends

**Apr. 15**

Deadline for Employer Contributions remitted for tax deductions for C Corps and Sole Proprietors (With no tax return extension). (TPA, Plan Sponsor)

**Apr. 15**

IRS deadline for processing corrective distribution for 402(g) Excess Deferrals. (TPA, Plan Sponsor, Recordkeeper)

**Apr. 15**

Deadline to adopt a profit sharing plan for 2022 for C Corps or Sole Proprietors with no tax return extension. (TPA, Plan Sponsor)

**Apr. 18**

Tax Day

**May 5**  
Cinco de Mayo

**May 14**  
Mother's Day

**May 15**  
Non-profit tax returns due.

**May 15**  
All required Annual Plan Year data due to Stones River Consulting to allow us to complete your tax filing by the deadline.

If received after 05/15, a \$250 rush fee will be assessed. Additional fees will apply in August if complete data is still missing.

**May 29**  
Memorial Day

**June 18**  
Father's Day

**June 30**  
Deadline for processing corrective distributions for failed ADP/ACP tests from plans with EACA, to avoid additional 10% excise tax.  
(TPA, Plan Sponsor, Recordkeeper)

**July 4**  
Independence Day

**July 15**  
Form 5558 filings begin for all plans that have not signed and submitted Form 5500/Form 5500-SF filings to IRS to extend deadline to October 15, 2023. (TPA)

**July 31**  
IRS deadline for filing Form 5500 without an extension or filing Form 5558 to extend Form 5500 filing date.  
(TPA, Plan Sponsor)

**Aug. 31**  
Final deadline to provide all complete 2022 Plan Year Annual Data to Stones River Consulting. Plans with incomplete data provided will be assessed an additional \$250 rush fee. (Plan Sponsor)

**Sept. 4**  
Labor Day

**Sept. 15**  
Rosh Hashanah Begins

**Sept. 15**  
Deadline for remitting Employer Contributions for tax deduction for S Corps or Partnerships with tax extension. (Plan Sponsor)

Deadline to adopt a profit sharing plan for 2022 for S Corps or Partnerships with tax return extension.  
(TPA, Plan Sponsor)

**Sept. 17**  
Rosh Hashanah Ends

**Sept. 30**  
IRS deadline for distributing Summary Annual Report (SAR) to participants unless Form 5558 was filed to extend Form 5500 filing deadline. (TPA, Plan Sponsor)

**Oct. 1**  
IRS deadline to establish a new Safe Harbor 401(k) plan for the current calendar year.  
(TPA, Plan Sponsor, Advisor)

**Oct. 9**  
Columbus Day

**Oct. 15**  
National Retirement Security Week

**Oct. 15**  
Final Filing Deadline for 2022 Form 5500. After this date Plan Sponsors are subject to filing correction program resulting in additional fees/penalties.

**Oct. 15**  
IRS deadline for adopting a retroactive amendment to correct a 410 (b) Coverage or 401(a)(4) Nondiscrimination failure.  
(TPA, Plan Sponsor)

**Oct. 15**  
Deadline to remit Employer Contributions for C Corps and Sole Proprietors on tax extension in order to take tax deduction. (TPA, Plan Sponsor)

**Oct. 15**

Deadline to adopt a profit sharing plan for 2023 for C Corps or Sole Proprietors with tax return extension. (TPA, Plan Sponsor)

**Oct. 31**

Halloween

**Nov. 1**

Begin reviewing and gathering required Annual Notices to distribute to all eligible participants before December 1st. (Plan Sponsor, Advisor, TPA, Recordkeeper)

**Nov. 5**

Daylight Savings Time Ends

**Nov. 11**

Veteran's Day

**Nov. 23**

Thanksgiving

**Nov. 30**

Deadline to convert existing 401(k) Plan to Safe Harbor plan using 3% nonelective contribution. (TPA, Plan Sponsor)

**Dec. 1**

IRS/ERISA deadline for sending Annual Safe Harbor, Qualified Default Investment Alternative (QDIA), and Automatic Contribution Arrangement (ACA) notices to participants. (TPA, Plan Sponsor, Recordkeeper)

**Dec. 15**

ERISA extended deadline for distributing Summary Annual Notice (SAR) to participants. (TPA, Plan Sponsor)

**Dec. 24**

Christmas Eve

**Dec. 25**

Christmas Day

**Dec. 31**

New Year's Eve

**Dec. 31**

IRS deadline for correcting all other compliance issues (415(c) Excess Annual Additions, Top Heavy contributions and 404(a)(3) Employer Deductibility). (TPA, Plan Sponsor)

**Dec. 31**

IRS deadline for participants to take ongoing Required Minimum Distributions (RMDs).

**Dec. 31**

IRS deadline for processing corrective distributions due to a failed Actual Deferral Percentage (ADP) or Actual Contribution Percentage (ACP) test with the 10% excise tax or correcting failure with a Qualified Nonelective Contribution (QNEC). (TPA, Plan Sponsor, Recordkeeper)

**Dec. 31**

Deadline to convert existing 401(k) Plan to Safe Harbor plan using 4% nonelective contribution for 2022. (TPA, Plan Sponsor)